



Docket No. UNIVP115USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Applicant: Westphal, et al.

Serial No.: 10/619,574

Filed: July 14, 2003

For: **METHOD AND SYSTEM FOR QUANTITATIVE IMAGE CORRECTION FOR OPTICAL COHERENCE TOMOGRAPHY**

Art Unit:

Examiner: Not Yet assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ___ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ___ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ___ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.
- (c) ___ Before the mailing date of a first Office Action on the merits after a first or second submission under 37 C.F.R. 1.129(a).
- (d) ___ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

- (1) ___ The required certification is given below, or
- (2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.
- (e) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or
- (2) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

- (a) X The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

Date January 6, 2004

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By Jason A. Worgull
Jason A. Worgull, Reg. No. 48,044

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper and the documents enclosed herewith are being deposited on the date indicated below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 6, 2004

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Jason A. Worgull
Jason A. Worgull

Form PTO-1449 (Modified)	Atty Docket No.	Serial No.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	UNIVP0115USA	10/619,574
	Applicant:	
	Westphal, et al.	
	Filing Date	Group
	July 14, 2003	

U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	6,293,674	09/25/01	Huang et al.	351	221	

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No
	03/011764 A2	13.02.2003	WO				

OTHER ART	
Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	International Search Report - PCT/US03/21898, Dated 15/12/2003, International Filing Date 14/07/2003
	Siavash Yazdanfar, Andrew M. Rollins and Joseph A. Izatt - Imagine and Velocimetry of the Human Retinal Circulation with color Doppler Optical Coherence Tomography, from Optics Letters/Vol 25, No19/October 1, 2000, XP-002263092
	E.A. Swanson, J.A. Izatt, M.R. Hee, D. Huang, C.P. Lin, J.S. Schuman, C.A. Puliafito and J.G. Fujimoto - In Vivo Reginal Imaging By Optical Coherence Tomography, May 27, 1993, XP-002102402
	Joseph A. Izatt, Siavash Yazdanfar, Volker Westphal, Sunito Radhakrishnan and Andrew M. Rollins, Real-time and Functional Optical Coherence Tomography, pages 110-112
	Volker Westphal, Sunita Radhakhrishnan, Andrew M. Rollins and Joseph A. Izatt, Quantitative OCT Image Correction Using Fermat's Principle and Mapping Arrays, Proceedings of SPIE Vol. 4619 (2002) pages 54-58, XP008013346

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.